

Corporate & Financial Weekly Digest

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FINRA Adds New Alert-Reporting Criterion for Leverage in FOCUS Reports

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The Financial Industry and Regulatory Authority is adding a new alert-reporting criterion for leverage in Financial and Operational Combined Uniform Single (FOCUS) Reports. FOCUS Reports detail a firm's operational and financial conditions and are submitted electronically to FINRA. FINRA's alert-monitoring criteria is designed to more closely surveil those firms that carry customer accounts or self-clear transactions that may be experiencing financial or operational problems that warrant special monitoring.

Click [here](#) to read FINRA Regulatory Notice 10-44.

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