

Corporate & Financial Weekly Digest

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CFTC to Hold Open Meeting on Proposed Rules under Dodd-Frank Act

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The Commodity Futures Trading Commission announced that it will hold a public meeting to propose rules under the Dodd-Frank Wall Street Reform and Consumer Protection Act regarding: (1) registration of foreign boards of trade; (2) registration of swap dealers and major swap participants; (3) implementation of the new whistleblower provisions of the Commodity Exchange Act; (4) conflict of interest policies and procedures for futures commission merchants (FCMs), introducing brokers, swap dealers and major swap participants; and (5) FCM, swap dealer and major swap participant compliance policies.

The meeting will take place at 1:00 p.m. Eastern on November 10.

The CFTC's press release, which includes information on viewing a webcast of the meeting, can be found [here](#).

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