

## Corporate & Financial Weekly Digest

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### SEC Increases Fees in 2011

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On December 22, President Obama signed H.R. 3082, the continuing resolution that will fund federal agencies until approximately February. The resolution has triggered increases in fees collected by the Securities and Exchange Commission. Effective December 27, 2010, the fee rate applicable to registration of securities, registered repurchases of securities by issuers or their affiliates and proxy solicitations and statements in corporate control transactions has increased from \$71.30 per \$1 million to a new rate of \$116.10 per \$1 million. The fee change will also affect the fees payable with the Annual Notice of Securities Sold Pursuant to Rule 24f-2 under the Investment Company Act of 1940, which are based on the rate applicable to the registration of securities. Furthermore, effective January 21, the fee rate applicable to securities transactions on exchanges and over-the-counter markets will increase from \$16.90 per \$1 million in transactions to a new rate of \$19.20 per \$1 million.

Click [here](#) to read the SEC's Fee Rate Advisory #5 for Fiscal Year 2011.

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