

Corporate & Financial Weekly Digest

Posted at 11:59 AM on April 15, 2011 by [Kenneth M. Rosenzweig](#)

NFA Amends Self-Examination Questionnaire

Co-authored by [Kevin M. Foley](#) and [Joshua A. Penner](#)

The National Futures Association (NFA) has amended the Self-Examination Questionnaire required to be reviewed annually by NFA members in order to identify and correct any supervisory deficiencies. Specifically, NFA has added a section to the Questionnaire for Forex Dealer Members (FDMs), updated other sections of the Questionnaire to assist non-FDM members in reviewing their forex operations, and made general updates to the Questionnaire.

In connection with the amendments to the Questionnaire, NFA has amended the related Interpretive Notice 9020 to require FDMs to complete the Questionnaire and to require non-FDM members who engage in forex transactions to use the Questionnaire to review their forex operations.

The amended Questionnaire can be found [here](#).
NFA's letter to the Commodity Futures Trading Commission regarding the amendments to Interpretive Notice 9020 can be found [here](#).

Katten Muchin Rosenman LLP
Charlotte Chicago Irving London Los Angeles New York Washington, DC