



Mitigating Risk in Uncertainty:

FIVE QUESTIONS TO ASK

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Do you have effective governance and oversight?



- a.** Take a deeper look to ensure you have the right reporting channels, information is communicated to the right people, and the right stakeholders have a seat at the table to take action.

Are you adequately resourcing and empowering your risk and compliance functions?



- a.** Don't cut corners: With increased regulations and the Department of Justice's heightened focus on compliance program efficacy, now is the time to invest in overall risk management and compliance programs.

When was the last time you looked at previous risk modeling and risk mapping?



- a.** Risks do change, but they do not disappear. New technologies and products can disguise old tricks. Pay attention to emerging risks, but do not be blindsided by the old ones.

Have you recently assessed your customer base?



- a.** Bring it back to basics: consider the concentration of geographies, industries, and ecosystems that your institution is serving.

How prepared are you for what's next?



- a.** Rising interest rates. Economic uncertainty. Commercial real estate pressure. Take steps today to get ahead of your risk profile.

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