

## **Corporate & Financial Weekly Digest**

Posted at 2:00 PM on September 10, 2010 by Kenneth M. Rosenzweig

## **CFTC Extends Comment Period for Proposed Ownership and Control Report;** Roundtable Scheduled for September 16

Co-authored by Christian B. Hennion

The Commodity Futures Trading Commission has extended the period for public comment on its recent proposal to adopt a new account "Ownership and Control Report" (OCR), pursuant to which the CFTC would collect detailed account information from reporting entities on a weekly basis (including identifying and contact information with respect to both beneficial owners and account controllers, whether the account is traded pursuant to an automated system, the executing and clearing brokers, and an indication of whether the account is a firm omnibus account). The comment period on the CFTC proposal now closes on October 7.

Notice of the extension is available <u>here</u>, and the original CFTC proposal is available <u>here</u>.

The CFTC will also host a public roundtable on the OCR at 1:00 p.m. E.D.T. on September 16. Information regarding the roundtable, including dial-in information, is available here.

Katten Muchin Rosenman LLP Charlotte Chicago Irving London Los Angeles New York Washington, DC