

Corporate & Financial Weekly Digest

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Futures Industry Association Releases Recommended Risk Controls for Trading Firms

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The Futures Industry Association's Principal Traders Group has released a report setting out a number of recommended risk controls for trading firms that have direct access to exchange matching engines.

The report expands on a previous set of recommendations published in April 2010 and includes recommendations for risk controls applicable to trading operations and electronic trading systems. The recommendations cover such issues as access and oversight, pre-trade risk management, trading interruptions, post-execution and back office functions, physical security, electronic security and business continuity.

The FIA report can be found <u>here</u>.

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