

# CFTC Crypto Charges & Settlements Timeline

Last Updated: October 2022

A Timeline of Selected Commodities Future Trading Commission Releases, Containing Enforcement Actions as well as Non-Litigation-Related Public Statements or Reports\*

## 2022

- 9.30.22** CFTC Files Charges in S.D. Florida (CFTC v. Adam Todd, Digitex LLC, et al.)
- 9.22.22** CFTC Files and Settles Charges in NDCA (CFTC v. Ooki DAO (bZeroX))
- 7.14.22** CFTC Issues Order Settling Charges (CFTC v. John D. McAfee and Jimmy G. Watson, Jr.)
- 6.2.22** CFTC Files Charges in S.D.N.Y. (CFTC v. Gemini Trust Company, LLC)
- 5.5.22** CFTC Issues Order Settling Charges (CFTC v. BitMEX)
- 3.24.22** CFTC Issues Order Settling Charges (CFTC v. Fintech Investment Group, et al.)
- 1.3.22** CFTC Issues Order Settling Charges (In the Matter of Blockratize, Inc. d/b/a Polymarket.com)
- 3.8.22** CFTC Files Charges in E.D.N.Y. (CFTC v. Dwayne Golden, et al.)
- 3.25.22** CFTC Issues Order Settling Charges (CFTC v. Tinoco, et al.)
- 5.10.22** CFTC Files Charges and Issues Order in N.D. Illinois (CFTC v. Ikkurty, et al.)
- 6.30.22** CFTC Files Charges in W.D. Texas (CFTC v. Mirror Trading, et al.)
- 9.22.22** CFTC Files Charges in District of Columbia (In the Matters of Cryptostockoptiontrade Ltd., et al.)

## 2021

- 11.17.21** CFTC Issues Order in S.D. Florida (CFTC v. Digital Platinum, et al.)
- 9.30.21** CFTC Issues Order Settling Charges in D. Massachusetts (CFTC v. Uduakobong, et al.)
- 9.28.21** CFTC Files Charges in W.D. Texas (CFTC v. Tinoco, et al.)
- 8.10.21** CFTC Issues Order Settling Charges (CFTC v. BitMEX)
- 6.30.21** S.D. Texas Bans and Imposes Penalties (CFTC v. Laino Group d/b/a PayForex)
- 3.29.21** D. Nevada Issues Penalties (CFTC v. Circle Society Corp., et al.)
- 3.05.21** CFTC Files Charges in S.D.N.Y. (CFTC v. John D. McAfee, and Jimmy G. Watson, Jr.)
- 1.26.21** CFTC Files Charges in S.D.N.Y. (CFTC v. Jeremy Spence)
- 7.26.21** CFTC Issues Order Settling Charges (CFTC v. Global Trading Club, et al.)
- 4.19.21** CFTC Issues Order Settling Charges (In the Matter of J. Squared Invest LLC, et al.)
- 3.19.21** CFTC Issues Order Settling Charges (In the Matter of Coinbase Inc.)
- 9.29.21** CFTC Files Charges Against 14 Entities (CFTC v. 14 Entities including Binance)

## 2020

- 12.17.20** CFTC's LabCFTC Issues Digital Assets Primer
- 10.02.20** Federal Court in S.D.N.Y. Grants CFTC's Relief (CFTC v. Jon Barry Thompson)
- 9.24.20** CFTC Files Charges in S.D. Texas (CFTC v. Laino Group Limited d/b/a PaxForex)
- 9.14.20** CFTC Files Charges in S.D. Texas (CFTC v. Global Trading Club, et al.)
- 9.01.20** CFTC Files Complaint (In the Matter of Bitfx24option.com)
- 6.24.20** CFTC Issues Final Interpretive Guidance on Actual Delivery for Digital Assets
- 5.18.20** CFTC Files Charges in S.D.N.Y. (CFTC v. Casper Mikkelsen)
- 10.01.20** CFTC Files Charges in S.D.N.Y. (CFTC v. HDR Global Trading Limited, et al., d/b/a BitMEX)
- 9.15.20** CFTC Issues No-Action Relief from Bitcoin Swap Product (Tassat Derivatives LLC)
- 9.01.20** CFTC Files Complaint (In the Matter of Yobcryptotrade24.com)
- 8.28.20** CFTC Files Charges in D. Maryland (CFTC v. Dennis Jali, et al.)
- 6.08.20** CFTC Files Charges in E.D. Missouri (CFTC v. Joshua Christian McDonald, et al.)
- 2.14.20** CFTC Files Charges in D. Colorado (CFTC v. Venture Capital Investments Ltd., et al.)

## 2019

- 10.31.19** CFTC Issues Order Settling Charges (In the Matter of: d/b/a First Global Credit)
- 10.16.19** CFTC Files Charges in D. Nevada (CFTC v. David Gilbert Saffron, et al.)
- 10.03.19** Federal Court in D. Nevada Orders Asset Freeze (CFTC v. David Gilbert Saffron, et al.)
- 6.28.19** Federal Court in N.D. Texas Grants CFTC's Relief (CFTC v. First Options Trading, et al.)
- 4.24.19** CFTC and SEC Issue Joint Alert Regarding Fraudulent Digital Asset Trading Websites
- 10.23.19** Federal Court in E.D.N.Y. Grants CFTC's Relief (CFTC v. Blake Harrison Kantor, et al. (ATM Coin))
- 10.11.19** CFTC, SEC, and FinCEN Issue Joint Statement on Digital Assets
- 9.30.19** CFTC Files Charges in S.D.N.Y. (CFTC v. Jon Barry Thompson)
- 6.17.19** CFTC Files Charges in S.D.N.Y. (CFTC v. Control-Finance Limited, et al.)
- 3.04.19** Federal Court in the Marshall Islands (D.C.) Issues Consent Order Settling Charges (CFTC v. 1Pool Ltd., et al.)

## 2018

- 11.27.18** CFTC's LabCFTC Issues Primer on Smart Contracts
- 10.15.18** Federal Court in S.D.N.Y. Grants CFTC's Relief (CFTC v. Gelfman Blueprint, Inc., et al.)
- 9.27.18** CFTC Files Charges in D.C. (CFTC v. 1Pool Ltd., et al.)
- 8.23.18** Federal Court in E.D.N.Y. Grants CFTC's Relief (CFTC v. Patrick K. McDonnell, et al. (Coin Drop))
- 7.09.18** Federal Court in E.D.N.Y. Grants CFTC's Relief (CFTC v. Dillon Michael Dean, et al.)
- 4.16.18** CFTC Files Charges in E.D.N.Y. (CFTC v. Blake Harrison Kantor, et al. (re: ATM Coin))
- 2.15.18** CFTC Issues Advisory on Virtual Currency Pump-and-Dump Schemes
- 1.16.18** CFTC Files Charges in D. Mass (CFTC v. My Big Coin Pay, Inc., et al.)
- 10.29.18** CFTC Issues Order Settling Charges (In the Matter of: Joseph Kim)
- 9.28.18** CFTC Files Charges in N.D. Texas (CFTC v. First Options Trading, et al.)
- 9.26.18** Federal Court in D. Mass. Finds that Virtual Currencies Are Commodities (CFTC v. My Big Coin Pay, Inc., et al.)
- 7.16.18** CFTC Issues Advisory on Digital Tokens
- 5.21.18** CFTC Issues Advisory on Virtual Currency Derivatives
- 3.06.18** Federal Court in E.D.N.Y. Orders Asset Freeze (CFTC v. Patrick K. McDonnell, et al. (Coin Drop))
- 1.24.18** CFTC and SEC Pen Op-Ed on DLT
- 1.16.18** Federal Court in D. Mass Orders Asset Freeze (CFTC v. My Big Coin Pay, Inc., et al.)

## 2017

- 12.15.17** CFTC Issues Proposed Interpretation on Delivery of Virtual Currency in Retail Transactions
- 10.17.17** CFTC's LabCFTC Issues Primer on Virtual Currencies
- 7.24.17** CFTC Grants DCO Registration to LedgerX LLC
- 12.01.17** CFTC Issues Statement on Self-Certified Contracts for Bitcoin Products
- 9.21.17** CFTC Files Charges in S.D.N.Y. (CFTC v. Gelfman Blueprint, Inc., et al.)
- 5.17.17** CFTC Announces Launch of LabCFTC
- 12.15.17** CFTC Launches Virtual Currency Resource Page

## 2016

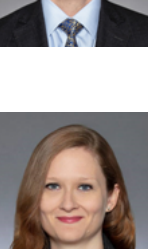
- 6.02.16** CFTC Issues Order Settling Charges (In the Matter of Bitfinex)

## 2015

- 11.06.15** CFTC Releases Annual Report with First Bitcoin-Related Enforcement Actions
- 9.24.15** CFTC Issues Order Settling Charges (In the Matter of TeraExchange LLC)
- 9.17.15** CFTC Defines Cryptocurrencies as Commodities under the CEA
- 9.17.15** CFTC Issues Order Settling Charges (In the Matter of Coinflip, Inc. d/b/a Derivabit)

\*Entries underlined in yellow are non-litigation-related public statements or reports.

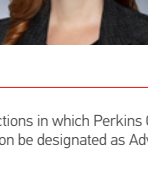
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