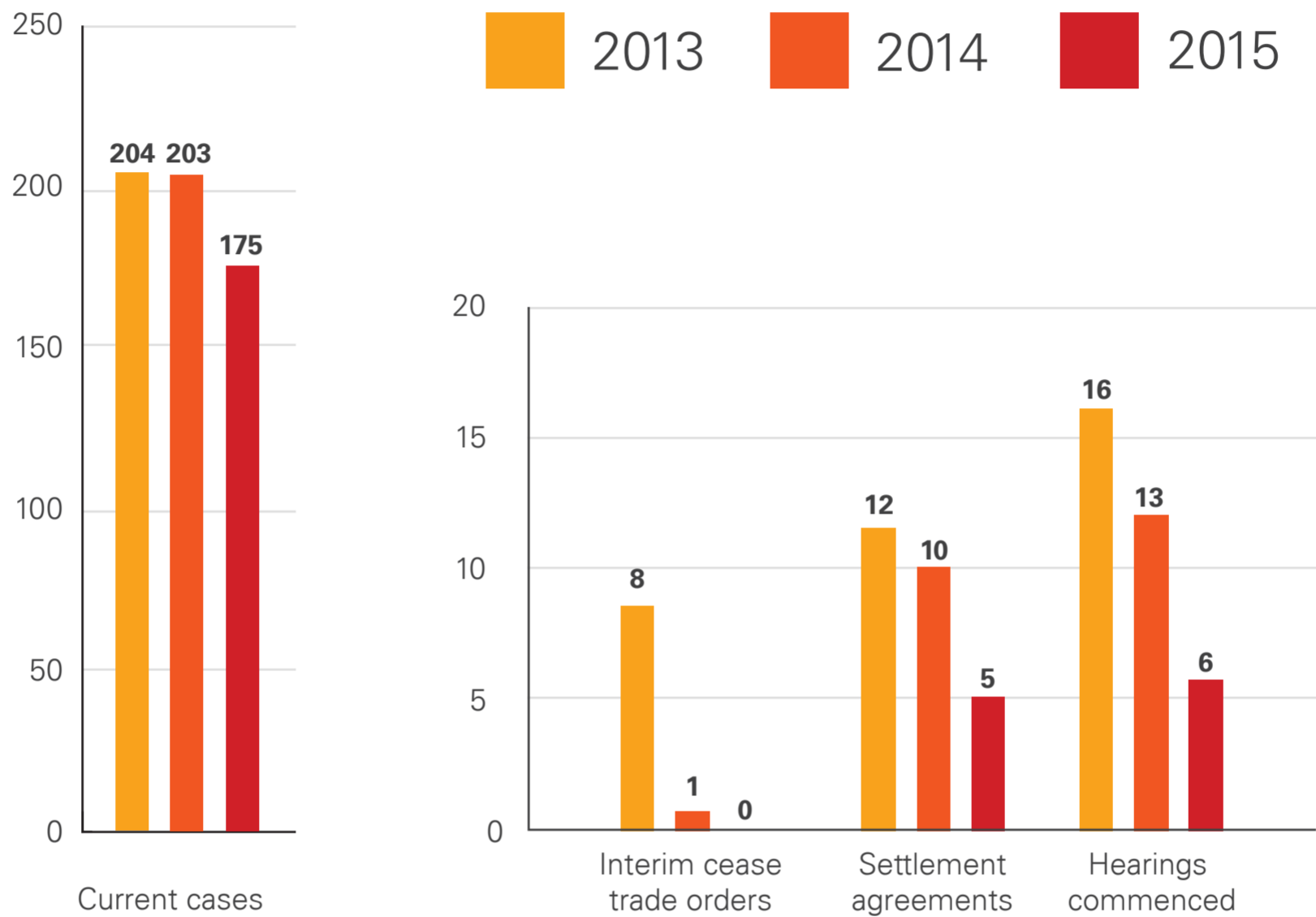
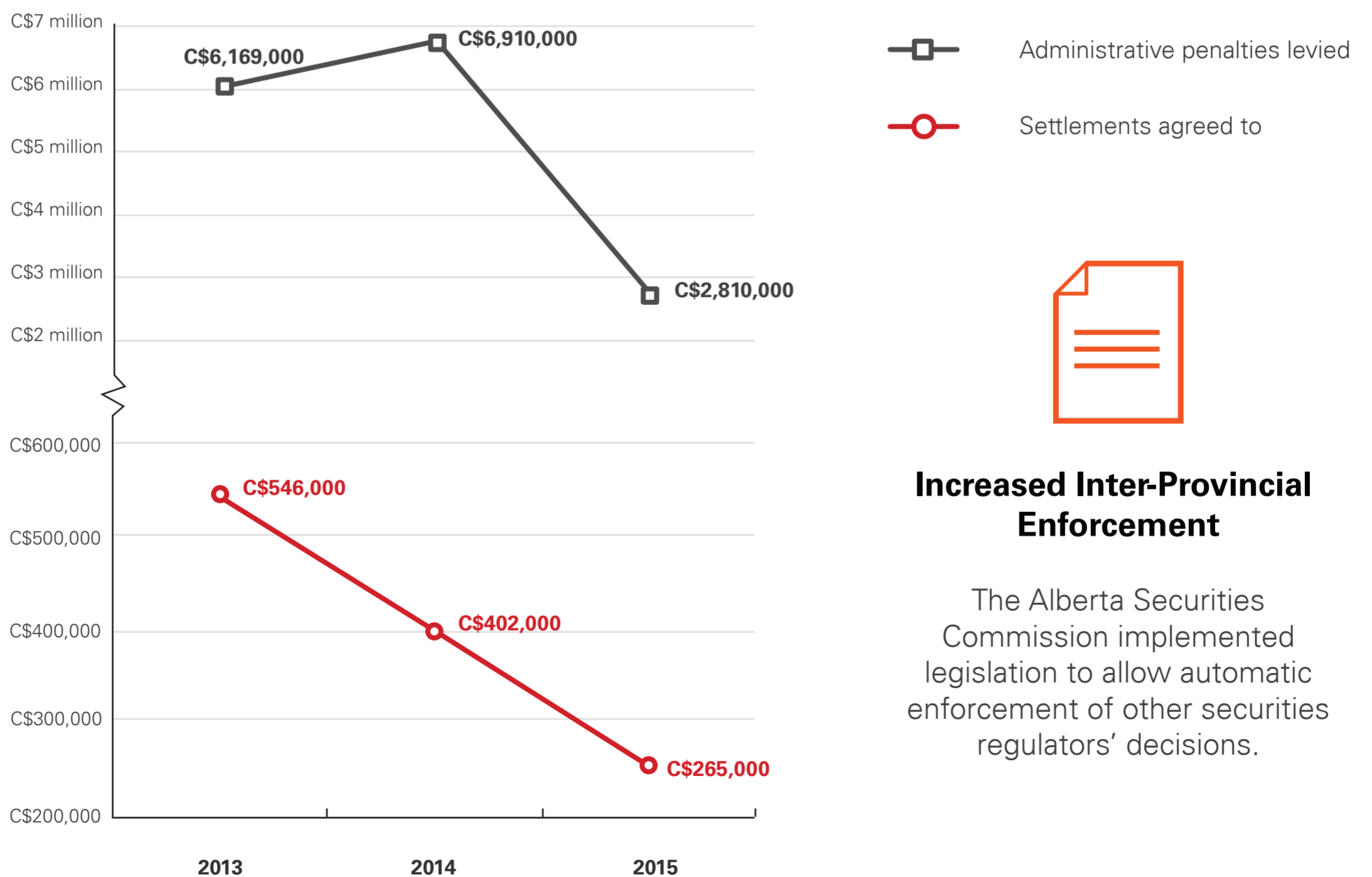


Alberta Securities Commission

Enforcement Activities ASC Annual Report 2015



Settlement and Penalty Amounts



Increased Inter-Provincial Enforcement

The Alberta Securities Commission implemented legislation to allow automatic enforcement of other securities regulators' decisions.