

June 21, 2013

Attached please find the updated Foreign Listed Stock Index Futures and Options Approvals Chart, current as of June 21, 2013. All prior versions are superseded and should be discarded. Please note the following developments since we distributed the last Approvals Chart:

- (1) The CFTC has approved the following contracts for trading by US persons:
  - (i) BM&F Bovespa S.A.'s futures contract based on the MICEX Index
  - (ii) BM&F Bovespa S.A.'s futures contract based on the Hang Seng Index
  - (iii) BM&F Bovespa S.A.'s futures contract based on the FTSE/JSE Top 40 Index
  - (iv) BM&F Bovespa S.A.'s futures contract based on the BSE Sensitive Index
  - (v) Eurex Deutschland's futures contract based on the MSCI World Index
  - (vi) Eurex Deutschland's futures contract based on the MSCI Europe Index
  - (vii) Eurex Deutschland's futures contract based on the MSCI Japan Index
  - (viii) Eurex Deutschland's futures contract based on the MSCI All Countries Asia Pacific ex-Japan Index
- (2) The SEC has not approved any new foreign equity index options since we last distributed the Approvals Chart.

## For Additional Information

The information in the Approvals Chart is subject to change at any time. If you have questions or would like confirmation of the status of a specific contract, please contact:

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## Foreign Listed Stock Index Futures and Options Approvals

(June 2013)

Country/Exchange	Index	Futures Contracts	Options on Futures Contracts	Equity Index Options	ETF Options
Australia/ASX/ASX Futures Exchange	S&P/ASX 50	Approved for sale to US Persons (July 24, 2002 No-Action Letter issued to ASX Futures Exchange)	None offered	Approved, <b>including approval of options on individual Australian equities</b> , <sup>1</sup> for Eligible US Institutions only (August 13, 2007 SEC No-Action Letter issued to ASX Limited)	None offered
Australia/ASX/ASX Futures Exchange	S&P/ASX 200	Approved for sale to US Persons (July 24, 2002 No-Action Letter issued to ASX Futures Exchange)	None offered	Approved for Eligible US Institutions only (August 13, 2007 SEC No-Action Letter issued to ASX Limited)	Not approved
Australia/ASX/ASX Futures Exchange	S&P/ASX 200 A-Reit	Not approved	None offered	Approved for Eligible US Institutions only (August 13, 2007 SEC No-Action Letter issued to ASX Limited)	None offered
Australia/Sydney Futures Exchange (SFE)	SPI 200	Approved for sale to US Persons (August 10, 1999 No-Action Letter issued to SFE)	Approved for sale to US Persons (August 10, 1999 No-Action Letter issued to SFE)	Not approved	None offered
Australia/Sydney Futures Exchange (SFE)	1-Day Option Contract based on the CBOT Mini-Sized Dow Futures Contract	None offered	Approved for sale to US Persons (August 8, 2006 No-Action Letter issued to SFE)	None offered	None offered
Austria/Wiener Borse	ATX	Not approved	None offered	Not approved	None offered
Austria/Wiener Borse	Russian Traded	Not approved	None offered	Not approved	None offered

For the definition of "Eligible US Institution" and for other explanatory information, please see the endnotes at the end of this table.

Country/Exchange	Index	Futures Contracts	Options on Futures Contracts	Equity Index Options	ETF Options
Austria/Wiener Borse	CECE Indices (CEX, CTX, HTX, PTX)	Not approved	None offered	Not approved	None offered
Belgium/EURONEXT Brussels	BEL 20	Approved for sale to US Persons (August 31, 2011 No-Action Letter issued to Euronext Brussels)	None offered	Not approved	None offered
Belgium/EURONEXT Brussels	Mini BEL 20 (index participation units)	None offered	None offered	Not approved	None offered
Belgium/EURONEXT Brussels	Mini Dow Jones Euro STOXX (index participation units)	None offered	None offered	Not approved	None offered
Brazil/BM&F Bovespa S.A.	Ibovespa	Approved for sale to US Persons (August 26, 2009 No-Action Letter issued to BM&F Bovespa S.A.) <sup>2</sup>	Approved for sale to US Persons (August 26, 2009 No-Action Letter issued to BM&F Bovespa S.A.)	Not approved	None offered
Brazil/BM&F Bovespa S.A.	Ibovespa Flex	None offered	None offered	Not approved	None offered
Brazil/BM&F Bovespa S.A.	Mini Ibovespa	Approved for sale to US Persons (August 26, 2009 No-Action Letter issued to BM&F Bovespa S.A.)	None offered	None offered	None offered
Brazil/BM&F Bovespa S.A.	IBrX 50 Index Futures Contract	Approval pending	Not approved	Not approved	None offered
Brazil/BM&F Bovespa S.A.	S&P 500 Index	Approved for sale to US Persons (September 24, 2012 certification)	None offered	None offered	None offered

Country/Exchange	Index	Futures Contracts	Options on Futures Contracts	Equity Index Options	ETF Options
Brazil/BM&F Bovespa S.A.	MICEX	Approved for sale to US Persons (March 12, 2013 certification)	None offered	None offered	None offered
Brazil/BM&F Bovespa S.A.	Hang Seng	Approved for sale to US Persons (March 12, 2013 certification)	Not approved	Not approved	None offered
Brazil/BM&F Bovespa S.A.	FTSE/JSE Top 40	Approved for sale to US Persons (March 12, 2013 certification)	Not approved	Not approved	None offered
Brazil/BM&F Bovespa S.A.	BSE Sensitive	Approved for sale to US Persons (March 12, 2013 certification)	Not approved	Not approved	None offered
Canada/Montreal Exchange (Bourse de Montréal)	S&P/TSX Canada 60 <sup>3</sup>	Approved for sale to US Persons (December 9, 1999 CFTC No-Action Letter issued to Montreal Exchange)	None offered	Approved, <b>including approval of all other index options and all options on individual securities listed on the Montreal Exchange</b> , <sup>4</sup> for sale to US Persons generally (Post-Effective Amendment No. 27 to Registration Statement on Form S-20 filed by Canadian Derivatives Clearing Corp.; SEC File No. 2-69458)	Not applicable
Canada/Montreal Exchange (Bourse de Montréal)	S&P/TSX 60 Index Mini Futures	Approved for sale to US Persons (April 19, 2012 certification)	None offered	None offered	None offered

Country/Exchange	Index	Futures Contracts	Options on Futures Contracts	Equity Index Options	ETF Options
Canada/Montreal Exchange (Bourse de Montréal)	iUnits S&P/TSE 60 Index Participation Fund (i60 Fund)	None offered	None offered	Not applicable	Approved for US Persons generally pursuant to Registration Statement (see S&P/TSX Canada 60 above)
Canada/Montreal Exchange (Bourse de Montréal)	S&P/TSX Capped Sector	Not approved	None offered	Approved for US Persons generally pursuant to Registration Statement (see S&P/TSX Canada 60 above)	Not applicable
Canada/Montreal Exchange (Bourse de Montréal)	iUnits S&P/TSX Capped Gold Index Fund	None offered	None offered	Not applicable	Approved for US Persons generally pursuant to Registration Statement (see S&P/TSX Canada 60 above)
Canada/Montreal Exchange (Bourse de Montréal)	iUnits S&P/TSX Capped Financials Index Fund	None offered	None offered	Not applicable	Approved for US Persons generally pursuant to Registration Statement (see S&P/TSX Canada 60 above)
Canada/Montreal Exchange (Bourse de Montréal)	iUnits S&P/TSX Capped Information Technology Index Fund	None offered	None offered	Not applicable	Approved for US Persons generally pursuant to Registration Statement (see S&P/TSX Canada 60 above)

Country/Exchange	Index	Futures Contracts	Options on Futures Contracts	Equity Index Options	ETF Options
Canada/Montreal Exchange (Bourse de Montréal)	iUnits S&P/TSX Capped Energy Index Fund	None offered	None offered	Not applicable	Approved for US Persons generally pursuant to Registration Statement (see S&P/TSX Canada 60 above)
China (see also Hong Kong and Taiwan)/China Financial Futures Exchange	CSI 300 Index Futures	Not approved	None offered	Not applicable	None offered
Denmark/FUTOP Market	KFX (Large/Small Contract)	Not approved	None offered	Not approved	None offered
France/EURONEXT Paris S.A.	CAC 40 <sup>3</sup>	Approved for sale to US Persons (December 17, 1991 No-Action Letter issued to ParisBourse)	None offered	Approved, <b>including approval of options on individual ParisBourse equities</b> , <sup>4</sup> for Eligible US Institutions only (July 17, 1996 SEC No-Action Letter issued to MONEP, confirmed by December 6, 1999 SEC No-Action Letter issued to ParisBourse)	None offered
France/EURONEXT Paris S.A.	Dow Jones STOXX 50 <sup>3</sup>	Approved for sale to US Persons (February 29, 2000 CFTC No-Action Letter issued to ParisBourse)	None offered	Approved for Eligible US Institutions only (October 26, 1998 SEC No-Action Letter issued to MONEP, confirmed by December 6, 1999 SEC No-Action Letter issued to ParisBourse)	None offered

Country/Exchange	Index	Futures Contracts	Options on Futures Contracts	Equity Index Options	ETF Options
France/EURONEXT Paris S.A.	Dow Jones Euro STOXX 50 <sup>3</sup>	Approved for sale to US Persons (February 29, 2000 CFTC No-Action Letter issued to ParisBourse)	None offered	Approved for Eligible US Institutions only (October 26, 1998 SEC No-Action Letter issued to MONEP, confirmed by December 6, 1999 SEC No-Action Letter issued to ParisBourse)	None offered
France/EURONEXT Paris S.A.	Dow Jones STOXX 600 Sectors (Bank, Energy, Healthcare, Technology, Telecommunications)	Not approved	None offered	Not approved	None offered
France/EURONEXT Paris S.A.	FTSEurofirst 80	Approved for sale to US Persons (March 5, 2007 CFTC No-Action Letter issued to EURONEXT LIFFE, on behalf of EURONEXT Paris S.A.)	None offered	None offered	None offered
France/EURONEXT Paris S.A.	FTSEurofirst 100	Approved for sale to US Persons (March 5, 2007 CFTC No-Action Letter issued to EURONEXT LIFFE, on behalf of EURONEXT Paris S.A.)	None offered	None offered	None offered
France/EURONEXT Paris S.A.	FTSE EPRA/NAREIT Europe	Approved for sale to US Persons (July 10, 2008 CFTC No-Action Letter issued to EURONEXT LIFFE, on behalf of EURONEXT Paris S.A.)	None offered	None offered	Not approved

Country/Exchange	Index	Futures Contracts	Options on Futures Contracts	Equity Index Options	ETF Options
France/EURONEXT Paris S.A.	FTSE EPRA/NAREIT Euro Zone	Approved for sale to US Persons (July 10, 2008 CFTC No-Action Letter issued to EURONEXT LIFFE, on behalf of EURONEXT Paris S.A.)	None offered	None offered	Not approved
Germany/Switzerland/EUREX	DAX <sup>3</sup>	Approved for sale to US Persons (December 20, 1994 CFTC No-Action Letter issued to Deutsche Terminborse)	None offered	Approved, <b>including approval of options on individual German, Swiss, Finnish, Dutch, Italian, and French equities</b> , <sup>5</sup> for Eligible US Institutions only (July 27, 2005 SEC No-Action Letter issued to EUREX)	Not applicable
Germany/Switzerland/EUREX	DAX EX	Not approved	None offered	Not applicable	Approved for Eligible US Institutions only (July 27, 2005 SEC No-Action Letter issued to EUREX)
Germany/Switzerland/EUREX	Dow Jones Euro STOXX 50 <sup>3</sup>	Approved for sale to US Persons (April 6, 2000 CFTC No-Action Letter issued to EUREX)	None offered	Approved for Eligible US Institutions only (July 27, 2005 SEC No-Action Letter issued to EUREX)	Not applicable
Germany/Switzerland/EUREX	Dow Jones Euro STOXX 50 Dividend	Not approved	Not approved	None offered	Not applicable
Germany/Switzerland/EUREX	Dow Jones STOXX 50 <sup>3</sup>	Approved for sale to US Persons (April 6, 2000 CFTC No-Action Letter issued to EUREX)	None offered	Approved for Eligible US Institutions only (July 27, 2005 SEC No-Action Letter issued to EUREX)	Not applicable



Country/Exchange	Index	Futures Contracts	Options on Futures Contracts	Equity Index Options	ETF Options
Germany/Switzerland/EUREX	Dow Jones Euro STOXX 50 EX	Not approved	None offered	Not approved	Approved for Eligible US Institutions only (July 27, 2005 SEC No-Action Letter issued to EUREX)
Germany/Switzerland/EUREX	KOSPI 200 Options <sup>6</sup>	Not approved	None offered	Not approved	None offered
Germany/Switzerland/EUREX	Swiss Market	Not approved	None offered	Approved for Eligible US Institutions only (July 27, 2005 SEC No-Action Letter issued to EUREX)	Approved for Eligible US Institutions only (July 27, 2005 SEC No-Action Letter issued to EUREX)
Germany/Switzerland/EUREX	SLI Swiss Leader	Approved for sale to US Persons (August 18, 2008 CFTC No-Action Letter issued to EUREX)	None offered	Not approved	Not applicable
Germany/Switzerland/EUREX	Swiss Market Index Midcap (SMIM)	Approved for sale to US Persons (August 18, 2008 CFTC No-Action Letter issued to EUREX)	None offered	Not approved	Not applicable
Germany/Switzerland/EUREX	Dow Jones Global Titans 50	Approved for sale to US Persons (July 18, 2002 CFTC No-Action Letter issued to EUREX)	None offered	Approved for Eligible US Institutions only (July 27, 2005 SEC No-Action Letter issued to EUREX)	Not applicable
Germany/Switzerland/EUREX	Dow Jones Global Titans 50 Index (US \$ Denominated)	Approved for sale to US Persons (June 5, 2012 certification)	None offered	None offered	Not applicable
Germany/Switzerland/EUREX	Dow Jones STOXX 600 Banking Sector	Approved for sale to US Persons (April 2, 2002 CFTC No-Action Letter issued to EUREX)	None offered	Not approved	Not applicable

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Country/Exchange	Index	Futures Contracts	Options on Futures Contracts	Equity Index Options	ETF Options
Germany/Switzerland/EUREX	Dow Jones STOXX Small 200	Approved for sale to US Persons (February 6, 2008 CFTC No-Action Letter issued to EUREX)	None offered	Not approved	Not applicable
Germany/Switzerland/EUREX	Dow Jones STOXX Mid 200	Approved for sale to US Persons (June 18, 2007 CFTC No-Action Letter issued to EUREX)	None offered	Not approved	Not applicable
Germany/Switzerland/EUREX	Dow Jones STOXX Large 200	Approved for sale to US Persons (February 6, 2008 CFTC No-Action Letter issued to EUREX)	None offered	Not approved	Not applicable
Germany/Switzerland/EUREX	Dow Jones STOXX 600	Approved for sale to US Persons (June 18, 2007 CFTC No-Action Letter issued to EUREX)	None offered	Not approved	Not applicable
Germany/Switzerland/EUREX	Dow Jones STOXX 600 Industrial Goods & Services	Approved for sale to US Persons (February 6, 2008 CFTC No-Action Letter issued to EUREX)	None offered	Not approved	Not applicable
Germany/Switzerland/EUREX	Dow Jones STOXX 600 Insurance	Approved for sale to US Persons (February 6, 2008 CFTC No-Action Letter issued to EUREX)	None offered	Not approved	Not applicable
Germany/Switzerland/EUREX	Dow Jones STOXX 600 Media	Approved for sale to US Persons (February 6, 2008 CFTC No-Action Letter issued to EUREX)	None offered	Not approved	Not applicable
Germany/Switzerland/EUREX	Dow Jones STOXX 600 Personal & Household Goods	Approved for sale to US Persons (February 6, 2008 CFTC No-Action Letter issued to EUREX) <sup>7</sup>	None offered	Not approved	Not applicable

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Country/Exchange	Index	Futures Contracts	Options on Futures Contracts	Equity Index Options	ETF Options
Germany/Switzerland/EUREX	Dow Jones STOXX 600 Retail	Not approved	None offered	Not approved	Not applicable
Germany/Switzerland/EUREX	Dow Jones STOXX 600 Travel & Leisure	Approved for sale to US Persons (February 6, 2008 CFTC No-Action Letter issued to EUREX)	None offered	Not approved	Not applicable
Germany/Switzerland/EUREX	Dow Jones STOXX 600 Utilities	Approved for sale to US Persons (February 6, 2008 CFTC No-Action Letter issued to EUREX)	None offered	Not approved	Not applicable
Germany/Switzerland/EUREX	Other Dow Jones STOXX 600 Market Sectors (Technology, Healthcare, Telecommunications)	Not approved	None offered	Not approved	Not applicable
Germany/Switzerland/EUREX	Dow Jones Euro STOXX Banking Sector	Not approved <sup>8</sup>	None offered	Not approved	Not applicable
Germany/Switzerland/EUREX	Dow Jones Euro STOXX Select Dividend 30	Approved for sale to US Persons (August 18, 2008 CFTC No-Action Letter issued to EUREX)	None offered	Not approved	Not applicable
Germany/Switzerland/EUREX	Other Dow Jones Euro STOXX Sectors (various)	Not approved	None offered	Not approved	Not applicable
Germany/Switzerland/EUREX	HEX 25 Finnish	Not approved	None offered	Approved for Eligible US Institutions only (July 27, 2005 SEC No-Action Letter issued to EUREX)	Not applicable

Country/Exchange	Index	Futures Contracts	Options on Futures Contracts	Equity Index Options	ETF Options
Germany/Switzerland/EUREX	TECDAX	Approved for sale to US Persons (August 18, 2008 CFTC No-Action Letter issued to EUREX)	None offered	Approved for Eligible US Institutions only (July 27, 2005 SEC No-Action Letter issued to EUREX)	Not applicable
Germany/Switzerland/EUREX	Dow Jones Italy Titans 30	Approved for sale to US Persons (November 23, 2005 CFTC No-Action Letter issued to EUREX)	None offered	Not approved	Not applicable
Germany/Switzerland/EUREX	MDAX	Approved for sale to US Persons (March 14, 2006 CFTC No-Action Letter issued to EUREX)	None offered	Not approved	Not applicable
Germany/Switzerland/EUREX	iShares DJ EURO STOXX	None offered	None offered	Not applicable	Approved for Eligible US Institutions only (July 27, 2005 SEC No-Action Letter issued to EUREX)
Germany/Switzerland/EUREX	RDXxt USD - RDX Extended	Approved for sale to US Persons (March 6, 2008 CFTC No-Action Letter issued to EUREX)	None offered	None offered	Not applicable
Germany/Switzerland/EUREX	DJ Banks Titans 30	Not approved	None offered	None offered	None offered
Germany/Switzerland/EUREX	DJ Oil and Gas Titans 30	Not approved	None offered	None offered	None offered
Germany/Switzerland/EUREX	DJ Insurance Titans 30	Not approved	None offered	None offered	None offered
Germany/Switzerland/EUREX	DJ Telecommunications Titans 30	Not approved	None offered	None offered	None offered

Country/Exchange	Index	Futures Contracts	Options on Futures Contracts	Equity Index Options	ETF Options
Germany/Switzerland/EUREX	DJ Utilities Titans 30	Not approved	None offered	None offered	None offered
Germany/Switzerland/EUREX	MSCI World	Approved for sale to US Persons (June 17, 2013 certification)	None offered	None offered	None offered
Germany/Switzerland/EUREX	MSCI Europe	Approved for sale to US Persons (June 17, 2013 certification)	None offered	None offered	None offered
Germany/Switzerland/EUREX	MSCI Japan	Approved for sale to US Persons (June 17, 2013 certification)	None offered	None offered	None offered
Germany/Switzerland/EUREX	MSCI All Countries Asia Pacific ex-Japan	Approved for sale to US Persons (June 17, 2013 certification)	None offered	None offered	None offered
Germany/Switzerland/EUREX	STOXX Europe 600	Approved for sale to US Persons (June 5, 2012 certification)	None offered	Not approved	Not applicable
Germany/Switzerland/EUREX	STOXX Europe Large 200	Approved for sale to US Persons (June 5, 2012 certification)	None offered	Not approved	Not applicable
Germany/Switzerland/EUREX	STOXX Europe Mid 200	Approved for sale to US Persons (June 5, 2012 certification)	None offered	Not Approved	Not Applicable
Germany/Switzerland/EUREX	STOXX Europe Small 200	Approved for sale to US Persons (June 5, 2012 certification)	None offered	Not Approved	Not applicable
Germany/Switzerland/EUREX	Euro STOXX	Approved for sale to US Persons (June 5, 2012 certification)	None offered	Not approved	Not applicable

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Country/Exchange	Index	Futures Contracts	Options on Futures Contracts	Equity Index Options	ETF Options
Germany/Switzerland/EUREX	Euro STOXX Large	Approved for sale to US Persons (June 5, 2012 certification)	None offered	Not approved	Not applicable
Germany/Switzerland/EUREX	Euro STOXX Mid	Approved for sale to US Persons (June 5, 2012 certification)	None offered	Not approved	Not applicable
Germany/Switzerland/EUREX	Euro STOXX Small	Approved for sale to US Persons (June 5, 2012 certification)	None offered	Not approved	Not applicable
Germany/Switzerland/EUREX	Mini Euro STOXX 50 Volatility Index	Approved for sale to US Persons (July 20, 2012 certification)	None offered	Not approved	Not applicable
Germany/Switzerland/EUREX	Euro STOXX 50 Ex Financials Index	Approved for sale to US Persons (August 27, 2012 certification)	None offered	Not approved	Not applicable
Greece/Athens Exchange Derivatives Market	FTSE/ASE 20	Not approved	None offered	Not approved	None offered
Greece/Athens Exchange Derivatives Market	FTSE/ASE Mid-40	Not approved	None offered	Not approved	None offered
Hong Kong/Hong Kong Exchanges and Clearing Ltd. (HKEX)	Hang Seng <sup>3</sup>	Approved for sale to US Persons (June 1, 1994 CFTC No-Action Letter issued to HKFE)	None offered	Approved for Eligible US Institutions only (September 26, 1995 SEC No-Action Letter issued to HKFE)	None offered
Hong Kong/Hong Kong Exchanges and Clearing Ltd. (HKEX)	Mini Hang Seng	Approved for sale to US Persons (January 16, 2009 CFTC No-Action Letter issued to HKFE)	None offered	Not approved	None offered

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Country/Exchange	Index	Futures Contracts	Options on Futures Contracts	Equity Index Options	ETF Options
Hong Kong/Hong Kong Exchanges and Clearing Ltd. (HKEX)	Mini Hang Seng China Enterprises Index	Approved for sale to US Persons (January 16, 2009 CFTC No-Action Letter issued to HKFE)	None offered	Not approved	None offered
Hong Kong/Hong Kong Exchanges and Clearing Ltd. (HKEX)	FTSE/Xinhua China 25	Approved for sale to US Persons (September 26, 2006 CFTC No-Action Letter issued to HKFE)	None offered	Not approved	None offered
Hong Kong/Hong Kong Exchanges and Clearing Ltd. (HKEX)	H-Shares (Hang Seng China Enterprises Index)	Approved for sale to US Persons (September 26, 2006 CFTC No-Action Letter issued to HKFE)	None offered	Not approved	None offered
Hong Kong/Hong Kong Exchanges and Clearing Ltd. (HKEX)	DJIA	Not approved	None offered	None offered	None offered
Hungary/Budapest Stock Exchange	BUX	Not approved	None offered	None offered	None offered
India/Bombay Stock Exchange (BSE)	BSE Sensitive Index of 30 Stocks (Sensex) <sup>9</sup>	Approved for sale to US Persons (June 14, 2006 CFTC No-Action Letter issued to BSE)	None offered	Not approved	None offered
India/Bombay Stock Exchange (BSE)	DOLLEX-30 <sup>9</sup>	Not approved	None offered	Not approved	None offered
India/National Stock Exchange of India Ltd. (NSE)	S&P CNX Nifty ("Nifty 50") <sup>9</sup>	Approved for sale to US Persons (October 17, 2003 CFTC No-Action Letter issued to NSE)	None offered	Not approved	None offered
India/National Stock Exchange of India Ltd. (NSE)	CNXIT	Not approved	None offered	Not approved	None offered

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Country/Exchange	Index	Futures Contracts	Options on Futures Contracts	Equity Index Options	ETF Options
India/National Stock Exchange of India Ltd. (NSE)	Bank Nifty	Not approved	None offered	Not approved	None offered
Israel/Tel Aviv Stock Exchange	TA 25	Not approved	None offered	Not approved	None offered
Israel/Tel Aviv Stock Exchange	TA Banks	Not approved	None offered	Not approved	None offered
Italy/Borsa Italiana – IDEM	FTSE MIB	Approved for sale to US Persons (August 30, 2004 CFTC No-Action Letter issued to Borsa Italiana)	None offered	Approved, <b>including approval of options on individual ISE equities</b> , <sup>4</sup> for Eligible US Institutions only (September 24, 2004 No-Action Letter issued to Borsa Italiana; see September 1, 1998 SEC No-Action Letter issued to Borsa Italiana with respect to options on individual ISE equities)	None offered
Italy/Borsa Italiana – IDEM	Mini FTSE MIB	Approved for sale to US Persons (August 30, 2004 CFTC No-Action Letter issued to Borsa Italiana)	None offered	None offered	None offered
Italy/Borsa Italiana – IDEM	FTSE MIB Dividend	Approval Pending	None offered	None offered	None offered



Country/Exchange	Index	Futures Contracts	Options on Futures Contracts	Equity Index Options	ETF Options
Japan/Osaka Securities Exchange (OSE)	Nikkei 300/Intercontract Spread <sup>3</sup>	Approved for sale to US Persons (May 17, 1994 CFTC No-Action Letter issued to OSE)	None offered	Approved, <b>including approval of options on individual equities that are listed and traded on the OSE or other Japanese exchanges</b> , <sup>4</sup> for Eligible US Institutions only (July 23, 1999 SEC No-Action Letter issued to OSE)	None offered
Japan/Osaka Securities Exchange (OSE)	Nikkei 225/Intercontract Spread <sup>3</sup>	Approved for sale to US Persons (January 16, 1992 CFTC No-Action Letter issued to OSE)	None offered	Approved for Eligible US Institutions only (July 23, 1999 SEC No-Action Letter issued to OSE)	None offered
Japan/Osaka Securities Exchange (OSE)	Mini Nikkei 225/Intercontract Spread <sup>3</sup>	Approved for sale to US Persons (July 11, 2006 CFTC No-Action Letter issued to OSE)	None offered	None offered	None offered
Japan/Osaka Securities Exchange (OSE)	Sector Indices (High Tech, Financial and Consumer Indices)	Not approved	None offered	Not approved	None offered
Japan/Osaka Securities Exchange (OSE)	DJIA	Approved for sale to US Persons (June 15, 2012 certification)	None offered	None offered	None offered
Japan/Osaka Securities Exchange (OSE)	Russell/Nomura Prime	Approved for sale to US Persons (March 14, 2005 No-Action Letter issued to OSE)	None offered	None offered	None offered

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Country/Exchange	Index	Futures Contracts	Options on Futures Contracts	Equity Index Options	ETF Options
Japan/Osaka Securities Exchange (OSE)	FTSE Japan	Approved for sale to US Persons (February 13, 2003 No-Action Letter issued to OSE)	None offered	None offered	None offered
Japan/Osaka Securities Exchange (OSE)	MSCI Japan	Approved for sale to US Persons (February 13, 2003 No-Action Letter issued to OSE)	None offered	None offered	None offered
Japan/Osaka Securities Exchange (OSE)	Nikkei Stock Average Volatility	Approved for sale to US Persons (March 9, 2012 certification)	None offered	None offered	None offered
Japan/Tokyo Stock Exchange (TSE)	TOPIX <sup>3</sup>	Approved for sale to US Persons (January 16, 1992 CFTC No-Action Letter issued to TSE)	None offered	Approved, <b>including approval of options on individual TSE equities</b> , <sup>4</sup> for Eligible US Institutions only (July 27, 1999 SEC No-Action Letter issued to TSE)	None offered
Japan/Tokyo Stock Exchange (TSE)	TOPIX 150 <sup>3</sup>	Approved for sale to US Persons (May 23, 2001 CFTC No-Action Letter issued to TSE)	None offered	Approved for Eligible US Institutions only (November 15, 2002 SEC No-Action Letter issued to TSE)	None offered
Japan/Tokyo Stock Exchange (TSE)	TOPIX Sector	Not approved	None offered	None offered	None offered
Japan/Tokyo Stock Exchange (TSE)	TOPIX Core30	Approved for sale to US Persons (November 9, 2009 CFTC No-Action Letter issued to TSE)	None offered	None offered	None offered

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Country/Exchange	Index	Futures Contracts	Options on Futures Contracts	Equity Index Options	ETF Options
Japan/Tokyo Stock Exchange (TSE)	Tokyo Stock Exchange REIT	Approved for sale to US Persons (November 9, 2009 CFTC No-Action Letter issued to TSE)	None offered	None offered	None offered
Japan/Tokyo Stock Exchange (TSE)	Mini TOPIX	Approved for sale to US Persons (November 9, 2009 CFTC No-Action Letter issued to TSE)	None offered	None offered	None offered
Korea – see South Korea	-	-	-	-	-
Malaysia/Bursa Malaysia Derivatives Berhad (BMD)	FTSE Bursa Malaysia Kuala Lumpur Composite	Approved for sale to US Persons (June 28, 2011 CFTC No-Action Letter issued to Bursa Malaysia Derivatives Berhad)	None offered	Not approved	None offered
Mexico/Mexican Derivatives Exchange (MEXDER)	IPC	Approved for sale to US Persons (August 24, 2006 CFTC No-Action Letter issued to Mercado Mexicano de Derivados, S.A. de C.V.)	Approved for sale to US Persons (August 24, 2006 CFTC No-Action Letter issued to Mercado Mexicano de Derivados, S.A. de C.V.)	None offered	Not approved
Netherlands/EURONEXT Amsterdam Derivatives Markets N.V.	FTSE Eurotop 100 <sup>3</sup>	Approved for sale to US Persons (May 14, 1996 CFTC No-Action Letter issued to Financiële Termijnmarkt Amsterdam)	None offered	Not approved	None offered
Netherlands/EURONEXT Amsterdam Derivatives Markets N.V.	AEX	Approved for sale to US Persons (April 7, 2003 CFTC No-Action Letter issued to EURONEXT Amsterdam Derivatives Markets N.V.)	None offered	Not approved	None offered

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Country/Exchange	Index	Futures Contracts	Options on Futures Contracts	Equity Index Options	ETF Options
Netherlands/ EURONEXT Amsterdam Derivatives Markets N.V.	Light AEX	Approved for sale to US Persons (April 7, 2003 CFTC No-Action Letter issued to EURONEXT Amsterdam Derivatives Markets N.V.)	None offered	Not approved	None offered
Netherlands/ EURONEXT Amsterdam Derivatives Markets N.V.	Amsterdam Mid-Kap	Not approved	None offered	Not approved	None offered
New Zealand/NZFOE	NZSE 10	Not approved	Not approved	None offered	None offered
Norway/Oslo Stock Exchange	OBX	Not approved	None offered	Not approved	None offered
Poland/Warsaw Stock Exchange	WIG20	Approval Pending	None offered	Not approved	None offered
Portugal/EURONEXT Lisbon	PSI 20	Not approved	Not approved	None offered	None offered
Russia/Russian Trading System Stock Exchange (RTS)	RTS Index	Approval Pending	None offered	Not Approved	None offered
Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT)	Dow Jones Thailand	Not approved (the requested approval was deemed withdrawn by the CFTC in 2004)	None offered	None offered	None offered
Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT)	MSCI Hong Kong +	Approved for sale to US Persons (December 17, 1999 CFTC No-Action Letter issued to SIMEX)	None offered	None offered	None offered

Country/Exchange	Index	Futures Contracts	Options on Futures Contracts	Equity Index Options	ETF Options
Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT)	MSCI Taiwan <sup>3</sup>	Approved for sale to US Persons (August 29, 1997 CFTC No-Action Letter issued to SIMEX)	Approved for sale to US Persons	None offered	None offered
Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT)	Nikkei 300 <sup>3</sup>	Approved for sale to US Persons (December 13, 1995 CFTC No-Action Letter issued to SIMEX)	Approved for sale to US Persons (December 13, 1995 CFTC No-Action Letter issued to SIMEX)	None offered	None offered
Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT)	Nikkei <sup>3</sup>	Approved for sale to US Persons (December 5, 1986 CFTC No-Action Letter issued to SIMEX)	Approved for sale to US Persons (December 5, 1986 CFTC No-Action Letter issued to SIMEX)	None offered	None offered
Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT)	USD Nikkei	Approved for sale to US Persons (May 24, 2007 CFTC No-Action Letter issued to SGX-DT)	None offered	None offered	None offered
Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT)	SGX Straits Times (STI)	Not approved	None offered	None offered	None offered
Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT)	FTSE/Xinhua China A50	Approved for sale to US Persons (January 26, 2012 certification)	None offered	None offered	None offered
Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT)	MSCI Asia APEX 50	Approved for sale to US Persons (January 26, 2012 certification)	None offered	None offered	None offered

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Country/Exchange	Index	Futures Contracts	Options on Futures Contracts	Equity Index Options	ETF Options
Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT)	SGX MSCI Japan	Approved for sale to US Persons (February 13, 2003 CFTC No-Action Letter issued to SGX-DT)	None offered	None offered	None offered
Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT)	MSCI Singapore Free <sup>3</sup>	Approved for sale to US Persons (July 11, 2000 CFTC No-Action Letter issued to SGX-DT)	None offered	None offered	None offered
Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT)	MSCI Indonesia Index	Approved for sale to US Persons (October 2, 2012 certification)	None offered	None offered	None offered
Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT)	S&P CNX Nifty (“Nifty 50”)	Approved for sale to US Persons (January 7, 2004 CFTC No-Action Letter issued to SGX-DT)	None offered	Not approved	None offered
Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT)	Mini Nikkei 225	Approved for sale to US Persons (December 23, 2009 CFTC No-Action Letter issued to SGX-DT)	None offered	None offered	None offered
Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT)	Euro STOXX 50	Approved for sale to US Persons (March 22, 2012 certification)	None offered	None offered	None offered
South Africa/JSE/SAFEX	ALSI/FTSE JSE 40	Approved for sale to US Persons (July 18, 2002 CFTC No-Action Letter issued to SAFEX)	None offered	Not approved	None offered
South Africa/JSE/SAFEX	Mining	Not approved	None offered	None offered	None offered
South Africa/JSE/SAFEX	Financial	Not approved	None offered	None offered	None offered
South Africa/JSE/SAFEX	Industrial	Not approved	None offered	None offered	None offered

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Country/Exchange	Index	Futures Contracts	Options on Futures Contracts	Equity Index Options	ETF Options
South Korea/Korea Exchange	KOSPI 200	Approved for sale to US Persons (November 26, 2008 CFTC No-Action Letter issued to Korea Futures Exchange)	None offered	Not approved	None offered
South Korea/Korea Exchange	KOSDAQ 50 Plus	Not approved	Not approved	None offered	None offered
Spain/MEFF	IBEX 35 <sup>3</sup>	Approved for sale to US Persons (August 8, 1994 CFTC No-Action Letter issued to MEFF)	None offered	Not approved	None offered
Spain/MEFF	IBEX 35 Mini	Not approved	Not approved	None offered	None offered
Spain/MEFF	S&P Euro	Approved for sale to US Persons (June 14, 2001 CFTC No-Action Letter issued to MEFF)	None offered	None offered	None offered
Spain/MEFF	S&P Europe 350	Approved for sale to US Persons (June 14, 2001 CFTC No-Action Letter issued to MEFF)	None offered	None offered	None offered
Sweden/OMHEX	HEXTECH	Not approved	Not approved	None offered	None offered
Sweden/OMHEX	HEXTEMP	Not approved	None offered	None offered	None offered
Sweden/OMX Nordic Exchange Stockholm AB	OMXS30 (formerly OMX) <sup>3</sup>	Approved for sale to US Persons (June 3, 1998 CFTC No-Action Letter issued to OM Stockholm)	None offered	Not approved	None offered

Country/Exchange	Index	Futures Contracts	Options on Futures Contracts	Equity Index Options	ETF Options
Sweden/OMX Nordic Exchange Stockholm AB	OMXcap <sup>3</sup>	Approved for sale to US Persons (May 25, 2000 CFTC No-Action Letter issued to OM Stockholm)	None offered	Not approved	None offered
Sweden/OMX Nordic Exchange Stockholm AB	OBX	Not approved	None offered	Not approved	None offered
Sweden/OMX Nordic Exchange Stockholm AB	NOX	Not approved	None offered	Not approved	None offered
Sweden/OMX Nordic Exchange Stockholm AB	VINX30	Approved for sale to US Persons (November 13, 2007 CFTC No-Action Letter issued to OMX Stockholm)	None offered	Not approved	Not approved
Switzerland – see Germany	-	-	-	-	-
Taiwan/Taiwan Futures Exchange	GreTai Securities Market Capitalized Weighted	Approved for sale to US Persons (October 24, 2011 No-Action Letter issued to Taiwan Futures Exchange)	None offered	Not approved	None offered
Taiwan/Taiwan Futures Exchange	TAIEX	Approved for sale to US Persons (July 1, 2004 CFTC No-Action Letter issued to Taiwan Futures Exchange)	None offered	Not approved	None offered
Taiwan/Taiwan Futures Exchange	Mini-TAIEX	Approved for sale to US Persons (July 1, 2004 CFTC No-Action Letter issued to Taiwan Futures Exchange)	None offered	None offered	None offered



Country/Exchange	Index	Futures Contracts	Options on Futures Contracts	Equity Index Options	ETF Options
Taiwan/Taiwan Futures Exchange	MSCI Taiwan	Approved for sale to US Persons (October 12, 2006 CFTC No-Action Letter issued to Taiwan Futures Exchange)	None offered	Not approved	None offered
Taiwan/Taiwan Futures Exchange	TSEC Taiwan 50	Not approved	None offered	None offered	None offered
Taiwan/Taiwan Futures Exchange	Electronic Sector	Approved for sale to US Persons (May 16, 2005 CFTC No-Action Letter issued to Taiwan Futures Exchange)	None offered	Not approved	None offered
Taiwan/Taiwan Futures Exchange	Finance Sector	Approved for sale to US Persons (May 16, 2005 CFTC No-Action Letter issued to Taiwan Futures Exchange)	None offered	Not approved	None offered
Taiwan/Taiwan Futures Exchange	Taiwan Stock Exchange Non-Finance Non-Electronics	Approved for sale to US Persons (April 1, 2008 CFTC No-Action Letter issued to Taiwan Futures Exchange)	None offered	Not approved	None offered
Thailand/Thailand Futures Exchange PCL	SET50	Approved for sale to US Persons (November 26, 2008 CFTC No-Action Letter issued to Thailand Futures Exchange)	None offered	Not approved	None offered
Turkey/Turkish Derivatives Exchange	ISE 30	Approved for sale to US Persons (August 17, 2010 CFTC No-Action Letter issued to Turkish Derivatives Exchange)	None offered	None offered	None offered

Country/Exchange	Index	Futures Contracts	Options on Futures Contracts	Equity Index Options	ETF Options
Turkey/Turkish Derivatives Exchange	ISE 100	Not approved	None offered	None offered	None offered
UK/EURONEXT LIFFE	FTSE 100 <sup>3</sup>	Approved for sale to US Persons (January 16, 1990 CFTC No-Action Letter issued to LIFFE)	None offered	Approved, <b>including approval of options on individual LSE equities</b> , <sup>4</sup> for Eligible US Institutions only (May 1, 1992 SEC No-Action Letter issued to LIFFE)	None offered
UK/EURONEXT LIFFE	FTSE 250 (Mid-Cap) <sup>3</sup>	Approved for sale to US Persons (July 27, 1994 CFTC No-Action Letter issued to LIFFE)	None offered	None offered	None offered
UK/EURONEXT LIFFE	Mini FTSE 100 <sup>3</sup>	Approved for sale to US Persons (May 30, 2001 CFTC No-Action letter issued to LIFFE)	None offered	None offered	None offered
UK/EURONEXT LIFFE	FTSE Eurotop 100 <sup>3</sup>	Approved for sale to US Persons (March 10, 2000 CFTC No-Action Letter issued to LIFFE)	None offered	None offered	None offered
UK/EURONEXT LIFFE	FTSE 100 FLEX	None offered	None offered	Approved for Eligible US Institutions only (March 6, 1996 SEC No-Action Letter issued to LIFFE)	None offered
UK/EURONEXT LIFFE	MSCI Euro <sup>3</sup>	Approved for sale to US Persons (April 6, 2000 CFTC No-Action Letter issued to LIFFE)	None offered	None offered	None offered

Country/Exchange	Index	Futures Contracts	Options on Futures Contracts	Equity Index Options	ETF Options
UK/EURONEXT LIFFE	MSCI Pan-Euro <sup>3</sup>	Approved for sale to US Persons (April 6, 2000 CFTC No-Action Letter issued to LIFFE)	None offered	None offered	None offered
UK/EURONEXT LIFFE	TOPIX	Approved for sale to US Persons (December 1, 2011 certification)	None offered	None offered	None offered
UK/EDX London Exchange	OMX/Flex OMX <sup>3</sup>	None offered <sup>10</sup>	None offered	Approved, <b>including approval of options on individual Swedish, Norwegian and Danish equities</b> , <sup>11</sup> for Eligible US Institutions only (October 29, 2003 SEC No-Action letter issued to EDX London Exchange)	None offered
UK/EDX London Exchange	OBX/Flex OBX	Not approved	None offered	Approved for Eligible US Institutions only (October 29, 2003 SEC No-Action letter issued to EDX London Exchange)	None offered
UK/EDX London Exchange	KFX/Flex KFX	Not approved	None offered	Approved for Eligible US Institutions only (October 29, 2003 SEC No-Action letter issued to EDX London Exchange)	None offered
UK/Turquoise Global Holdings Limited	FTSE 100	Approved for sale to US Persons (June 1, 2012 certification)	None offered	None offered	None offered

For the definition of “Eligible US Institution” and for other explanatory information, please see the endnotes at the end of this table.

Definition of “Eligible US Institution”: As used in this chart, in accordance with SEC no-action letters, the term “Eligible US Institution” means, in general, a US Person that (i) is a “qualified institutional buyer” as defined in Rule 144A(a)(1) under the Securities Act of 1933, and (ii) has had prior actual experience in trading US listed options contracts. For information regarding working definitions of “US Person” as that term is used in this chart, please contact Katten Muchin Rosenman LLP.

PLEASE NOTE that this chart covers those products and exchanges that are likely to be of greatest interest to market participants in the United States. It is not, therefore, intended to be exhaustive, and is presented without any representation or warranty as to its accuracy, completeness or timeliness. Transmission or receipt of this information does not create an attorney-client relationship with Katten Muchin Rosenman LLP, and the information herein should not be used or relied upon in regard to any particular facts or circumstances without first consulting a lawyer. Questions regarding the permissibility of trading in products that are not included in this chart should be addressed to James D. Van De Graaff at (312) 902-5227, Kenneth M. Rosenzweig at (312) 902-5381, Kevin M. Foley at (312) 902-5372, Christian B. Hennion at (312) 902-5521 or Blake J. Brockway at (312) 902-5438.

- <sup>1</sup> Indicates that the SEC also has approved certain options based on Australian equity securities that are now or in the future may be listed on ASX.
- <sup>2</sup> The CFTC No-Action Letter also applies to BM&F Bovespa’s “Ibovespa Rollover” and “Forward Points on the Ibovespa” transactions.
- <sup>3</sup> Indicates that the index is included in the CFTC’s and SEC’s joint order dated May 31, 2002, extending the exclusion of such index from the definition of “narrow-based security index” under the Commodity Exchange Act and Securities Exchange Act of 1934 beyond its expiration date of July 11, 2002 (the “Joint Order”). Foreign futures contracts or options on futures contracts on “narrow-based securities indexes” may not be offered or sold to US Persons without the CFTC and SEC first developing joint regulations, which has not yet been done. Accordingly, the Joint Order effectively allows the stock index futures contracts and options on futures contracts that are based on the security indexes included in the Joint Order, which were granted no-action relief by the CFTC prior to the enactment of the Commodity Futures Modernization Act (“CFMA”) on December 21, 2000, to continue to be offered and sold to US Persons after July 11, 2002, the date that the exclusion set forth in the CFMA was to expire.
- <sup>4</sup> Indicates that the SEC also has approved all options on individual equity securities that are now or that in the future may be listed on the referenced exchange.
- <sup>5</sup> Indicates that the SEC also has approved all options on individual German, Swiss, Finnish, Dutch, Italian and French-issued equities that are now or that in the future may be listed on the Eurex exchange. Equities issued in any other country that are listed on the Eurex exchange have not been approved at this time.
- <sup>6</sup> Eurex offers a KOSPI product that Eurex describes as a daily futures contract that expires every night, with any net open positions at the end of trading resulting in the establishment of a corresponding position in the Korean Exchange’s KOSPI 200 Options (with strike prices, expiries, put/call and premiums) at the start of the next trading session on the Korean Exchange.
- <sup>7</sup> Pursuant to Eurex Circular 281/11, the Dow Jones STOXX 600 Personal & Household Goods will no longer be tradable on Eurex trading terminals in the United States after the close of business on February 29, 2012. The previously issued no-action letter approving such product for sale to US Persons (February 6, 2008 CFTC No-Action Letter issued to EUREX) has not been withdrawn.
- <sup>8</sup> Pursuant to Eurex Circular 260/11, the Dow Jones Euro STOXX Banking Sector is no longer tradable on Eurex trading terminals in the United States. The previously issued no-action letter approving such product for sale to US Persons (April 2, 2002 CFTC No-Action Letter issued to EUREX) has not been withdrawn.
- <sup>9</sup> Additional restrictions apply to the trading of these products by US persons.
- <sup>10</sup> No-action letter withdrawn at the request of the Turquoise Exchange, to which the London Stock Exchange transferred the EDX London exchange. The Turquoise Exchange made the request in a letter dated July 29, 2011.
- <sup>11</sup> Indicates that the SEC also has approved all options on individual Swedish, Norwegian and Danish-issued equities that are now or that in the future may be listed on the EDX London exchange. Equities issued in any other country that are listed on the EDX London exchange have not been approved at this time. Please note that the EDX London exchange has been acquired by the Turquoise Exchange.

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