

Digital Asset SEC Timeline

Last Updated: March 2023

A Timeline of Selected Digital Asset-Related SEC Guidance, Speeches, and Enforcement Actions Issued Post-DAO Report

2023

- 2.28.23** SEC v. Nishad Singh ("FTX Trading Ltd.")
- 2.17.23** In the Matter of Paul Anthony Pierce
- 2.16.23** SEC v. Terraform Labs PTE Ltd and Do Hyeong Kwon
- 2.9.23** SEC v. Payward Ventures, Inc. (d/b/a Kraken) and Payward Trading, Ltd. (d/b/a Kraken)
- 1.20.23** SEC v. Avraham Eisenberg
- 1.19.23** In the Matter of Nexo Capital Inc.
- 1.12.23** SEC v. Genesis Global Capital, LLC and Gemini Trust Company, LLC
- 1.4.23** SEC v. Neil S. Chandran et al. ("CoinDeal")

2022

- 12.21.22** SEC v. Thor Technologies, Inc. and David Chin
- 12.21.22** SEC v. Matthew Moravec (Thor Technologies, Inc.)
- 12.21.22** SEC v. Caroline Ellison and Zixiao "Gary" Wang ("FTX Trading Ltd.")
- 12.13.22** SEC v. Samuel Bankman-Fried ("FTX Trading Ltd.")
- 11.18.22** In the Matter of The Registration Statement of American CryptoFed DAO LLC
- 11.3.22** SEC v. Jonathan Tetreault ("Trade Coin Club")
- 11.3.22** SEC v. Douver Torres Braga, Joff Paradise, and Keleionalani Akana Taylor ("Trade Coin Club")
- 10.6.22** In the Matter of Tyler Ostern (The Hydrogen Technology Corporation)
- 10.3.22** In the Matter of Kimberly Kardashian
- 9.30.22** SEC v. Arbitrade Ltd., Cryptobontix Inc., et al.
- 9.29.22** SEC v. The Hydrogen Technology Corporation, Michael Ross Kane, and Tyler Ostern
- 9.27.22** In the Matter of Darcas Oliver Young (Chicago Crypto Capital LLC)
- 9.19.22** SEC v. Ian Balina (Sparkster)
- 9.19.22** In the Matter of Sparkster, Ltd. and Sajjad Daya
- 9.14.22** SEC v. Chicago Crypto Capital LLC, et al.
- 8.16.22** SEC v. Dragonchain, Inc., et al.
- 8.9.22** In the Matter of Bloom Protocol, LLC
- 8.1.22** SEC v. Vladimir Okhotnikov, et al. ("Forsage.io")
- 7.21.22** SEC v. Ishan Wahi, Nikhil Wahi, and Sameer Ramani
- 6.17.22** In the Matter of American CryptoFed DAO LLC
- 5.6.22** In the Matter of NVIDIA Corporation
- 4.28.22** SEC v. Steven Chiang a/k/a Cyrus Kong, et al. ("NASGO")
- 4.7.22** SEC v. MCC International Corp. (dba "Mining Capital Coin Corp."), et al.
- 3.8.22** SEC v. John Barksdale and JonAtina Barksdale
- 2.14.22** In the Matter of BlockFi Lending LLC
- 1.6.22** SEC v. Crowd Machine, Inc., Metavine, Inc., and Craig Derel Sproule

2021

- 12.3.21** SEC Secures Judgment Against BitConnect Lead Promoter in SDNY (SEC v. BitConnect, et al.)
- 12.2.21** SEC v. Ivars Auzins, a/k/a Ron Ramsey and Daniel Gaines
- 11.18.21** SEC v. Ryan Ginster
- 11.10.21** In the Matter of American CryptoFed DAO LLC
- 9.13.21** In the Matter of GTV Media Group, Inc., Saraca Media Group, Inc., and Voice of Guo Media, Inc.
- 9.1.21** SEC v. BitConnect, et al.
- 8.19.21** SEC Obtains Judgments Against BitConnect Promoters and a Relief Defendant (SEC v. Brown, et al. (BitConnect))
- 8.9.21** In the Matter of Poloniex, LLC
- 8.6.21** In the Matter of Blockchain Credit Partners d/b/a DeFi Money Market, Gregory Keough, and Derek Acree
- 8.4.21** SEC v. Uulala, Inc., Oscar Garcia, and Matthew Loughran
- 7.14.21** In the Matter of Blotix Ltd, f/d/b/a Coinschedule Ltd.
- 6.22.21** In the Matter of Loci, Inc. and John Wise
- 5.28.21** SEC v. Brown, et al. (BitConnect)
- 3.29.21** Securities and Exchange Commission v. LBRY, Inc.
- 3.5.21** SEC v. Shawn Cutting
- 2.17.21** SEC v. Coinseed, Inc. and Delgerdalai Davaasambu
- 2.8.21** Western District Texas Court Grants SEC Default Judgment (SEC v. Meta 1 Coin Trust, et al.)
- 2.1.21** SEC v. Kristijan Krstic (a/k/a Felix Logan), John DeMarr, and Robin Enos
- 1.21.21** In the Matter of Reginald Buddy Ringgold, III, aka Rasool Abdul Rahim El
- 1.15.21** In the Matter of Wireline, Inc.

2020

- 12.22.20** SEC v. Stefan Qin, et al.
- 12.22.20** SEC v. Ripple Labs, Inc., Bradley Garlinghouse, and Christian A. Larsen
- 10.5.20** SEC v. John David McAfee and Jimmy Gale Watson, Jr.
- 9.30.20** SDNY Grants SEC Summary Judgment (SEC v. Kik Interactive Inc.)
- 9.15.20** In the Matter of Unikrn, Inc.
- 9.10.20** SEC v. FLiK, CoinSpark, et al.
- 8.13.20** In the Matter of Kelvin Boon, LLC and Rajesh Pavithran
- 6.26.20** SDNY Approves SEC/Telegram Settlement Agreement (SEC v. Telegram Group Inc.)
- 6.25.20** SEC v. Abramoff
- 6.25.20** SEC v. NAC Foundation, LLC and Andrade
- 6.16.20** SEC v. Hvizdzak Capital Management, LLC, et al.
- 5.28.20** In the Matter of BitClave PTE Ltd.
- 3.24.20** SDNY Grants Injunctive Relief to the SEC Preventing Telegram Coin Offering (SEC v. Telegram Group Inc.)
- 2.19.20** In the Matter of Enigma MPC
- 2.11.20** SEC v. Michael W. Ackerman
- 1.17.20** SEC v. Boaz Manor, et al. ("CG Blockchain, Inc.")
- 1.14.20** SEC Staff Publishes Investor Bulletin on Initial Exchange Offerings (IEOs)

2019

- 12.18.19** In the Matter of Blockchain of Things, Inc.
- 12.11.19** SEC v. Eyal ("Shopin")
- 10.28.19** SEC Staff Issues No-Action Relief to Paxos Trust Co., LLC
- 10.11.19** SEC v. Telegram Group Inc.
- 9.30.19** In the Matter of Block.one
- 9.20.19** SEC v. Lucas ("Fantasy Market")
- 9.18.19** SEC v. ICOBox
- 8.29.19** SEC v. Bitqyck, Inc.
- 8.20.19** In the Matter of ICO Rating
- 8.12.19** In the Matter of SimplyVital Health, Inc.
- 8.12.19** SEC v. Middleton and Veritaseum, Inc.
- 7.25.19** SEC Staff Issues No-Action Relief to Pocketful of Quarters, Inc.
- 7.11.19** SEC Staff Qualifies Blockchain PBC Regulation A Filing
- 7.8.19** SEC Staff and FINRA OGC Publish Joint Statement on Custody of Digital Asset Securities
- 6.4.19** SEC v. Kik Interactive Inc.
- 5.22.19** SEC v. Pacheco ("IPPro")
- 5.14.19** In the Matter of NextBlock Global Ltd.
- 5.13.19** SEC v. Natural Diamonds Investment Co.
- 4.3.19** SEC Staff Issues No-Action Relief to TurnKey Jet, Inc.
- 4.3.19** FinHub Publishes Investment Contract Framework
- 4.1.19** In the Matter of Mutual Coin Fund LLC
- 2.20.19** In the Matter of Gladius Network LLC

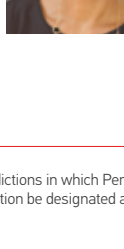
2018

- 12.7.18** In the Matter of CoinAlpha Advisors LLC
- 11.16.18** SEC Staff Publishes Joint Statement on Digital Asset Securities Issuance and Trading
- 11.16.18** In the Matter of CarrierEQ, Inc. ("AirFox")
- 11.8.18** In the Matter of Zachary Coburn ("EtherDelta")
- 10.18.18** SEC FinHub Launch
- 10.3.18** SEC v. Blockvest LLC
- 9.11.18** In the Matter of Crypto Asset Mgmt., LP
- 9.11.18** In the Matter of TokenLot LLC
- 8.14.18** In the Matter of Tomahawk Exploration LLC
- 6.14.18** SEC Director Hinman Gives Remarks on Digital Assets ("When Gary Met Howey (Plastic)")
- 5.22.18** SEC v. Titanium Blockchain Infrastructure Svcs., Inc. ("Blockchain Evangelist")
- 4.2.18** SEC v. Sharma
- 3.7.18** SEC Staff Publishes Joint Statement on Potentially Unlawful Digital Asset Trading Platforms
- 2.21.18** SEC v. Montroll and BitFunder
- 2.6.18** SEC Chairman Clayton Testifies on the SEC and Virtual Currencies
- 1.25.18** SEC v. AriseBank
- 1.18.18** SEC Staff Publishes Staff Letter On Engaging on Fund Innovation and Cryptocurrency-related Holdings

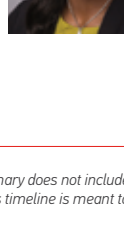
2017

- 12.11.17** In the Matter of Munchee Inc.
- 12.11.17** SEC Chairman Clayton Publishes Statement on ICOs
- 12.1.17** SEC v. PlexCorps
- 11.1.17** SEC Staff Publishes Joint Statement on Celebrity Backed ICOs
- 9.29.17** SEC v. REcoin Group Found., LLC
- 7.25.17** SEC Staff Publishes Investor Bulletin on Initial Coin Offerings (ICOs)
- 7.25.17** SEC Publishes DAO Report

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